

**U.S. SECURITIES AND EXCHANGE COMMISSION**

Division of Trading and Markets  
100 F Street, NE  
Washington, DC 20549  
Date: April 10, 2017

**Via Electronic Delivery**

Re: Notice of Effectiveness – Form BD Registration  
Firm Name: Xextrax Capital LTD  
CRD Number:1567894  
SEC File Number: 8-12379

Dear Applicant,

The Securities and Exchange Commission hereby acknowledges that the registration filing submitted on March 17, 2017, by Xextrax Capital LTD, pursuant to the Securities Exchange Act of 1934, has completed regulatory review.

Based upon the representations contained in your Form BD submission, supporting schedules, and accompanying documentation, the Commission has determined that your broker-dealer registration is effective, subject to continued compliance with applicable federal securities laws and Commission regulations.

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Your registration has been processed in accordance with:

- The Securities Exchange Act of 1934
- Applicable provisions governing broker-dealer registration
- Net Capital requirements under Rule 15c3-1
- Recordkeeping obligations under SEC regulations
- Ongoing reporting obligations

This effectiveness confirms that your firm is authorized to operate as a registered broker-dealer, provided that all continuing obligations are satisfied.

**As a registered broker-dealer, Xextrax LTD must:**

1. Maintain compliance with federal securities laws.
2. File periodic financial and operational reports as required.
3. Maintain adequate net capital at all times.
4. Implement and sustain written supervisory procedures.
5. Maintain an effective Anti-Money Laundering (AML) program.
6. Update Form BD promptly upon material changes.
7. Comply with state securities law requirements where applicable.

Failure to maintain compliance may result in regulatory action, suspension, or revocation of registration.

Washington, DC 20549

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Your firm's registration status is now recorded in the Commission's system as:

Status: Effective

Registration Type: Broker-Dealer

Jurisdiction: United States of America

This registration is subject to oversight and coordination with applicable Self-Regulatory Organizations, including membership requirements where applicable.

(Official guidance from the [U.S. Securities and Exchange Commission](#)).

The Commission welcomes Xextrax LTD to the regulatory framework governing broker-dealers in the United States. Continued adherence to all federal securities requirements is expected.

Should you require procedural clarification regarding reporting obligations, please contact the Division of Trading and Markets.

Respectfully,

Authorized Representative

Division of Trading and Markets

U.S. Securities and Exchange Commission